

FORMALITIES LETTER



OC00000005319122

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

#3

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|----------------------------|------------------------|
| 09/594,697 | 06/16/2000 | Robert Lee Fitzsimmons JR. | VULC-004/00US |

022903
 COOLEY GODWARD LLP
 ATTN: PATENT GROUP
 11951 FREEDOM DRIVE, SUITE 1700
 ONE FREEDOM SQUARE- RESTON TOWN CENTER
 RESTON, VA 20190-5061



Date Mailed: 08/14/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 820.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/06/2000 HN00R1 00000062 501283 09594697

01 FC:201 355.00 CH
 02 FC:205 65.00 CH

Attorney Docket No. VULC-004/00US



Section 118
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **Robert Lee Fitzsimmons, Jr.**

Serial No.: **09/594,697**

Examiner: **Unassigned**

Filed: **June 16, 2000**

Art Unit: **2761**

For: **METHOD AND APPARATUS FOR SUPPLEMENTING THE
EXPERIENCE OF A VISITOR TO A DISPLAY FACILITY OR OTHER
PUBLIC PLACE**

**Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231**

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(e) mailed **August 14, 2000**, enclosed are:

- ☒ **Copy of the Notice to File Missing Parts of Application**
- ☒ **Executed Declaration**
- ☒ **Assignment and Assignment Recordation Cover Sheet**
- ☒ **Power of Attorney by Assignee with copy of Assignment**
- ☒ **Verified Statement Claiming Small Entity Status**
- ☒ **Extension of Time**
- ☒ **Return Postcard**

and the payment of the following fee(s):

- ☒ **Filing fee of \$ 355.00**
- ☒ **Surcharge fee for Late Filing of Declaration of \$ 65.00**
- ☒ **Two Month Extension \$195.00**
- ☒ **Assignment Recordation Fee \$40.00**

Total Fee due: \$ 655.00

- ☒ **Please charge \$655.00 to Deposit Account No. 50-1283 for the total fee.
This paper is being submitted in duplicate.**

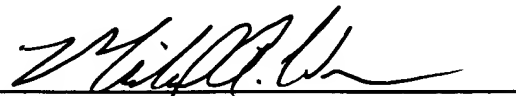
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: December 4, 2000

**Cooley Godward LLP
Attention: Patent Group
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**Respectfully submitted,
COOLEY GODWARD LLP**

By:


**Michael A. Wrenn
Reg. No. 42,237**

09/594697

Attorney Docket No: VULC-004/00US

PATENT

Applicant : Robert Lee Fitzsimmons, Jr.

Serial No.: 09/594,697

Examiner: Unassigned

Filed: June 16, 2000

Art Unit: 2761

For: METHOD AND APPARATUS FOR SUPPLEMENTING THE
EXPERIENCE OF A VISITOR TO A DISPLAY FACILITY OR
OTHER PUBLIC SPACE

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
37 CFR SECTIONS 1.9(f) & 1.27(c) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the
concern identified below:

Name of Small Business Concern:

Vulcan Northwest, Inc.

Address of Small Business Concern:

110 110th Avenue, N.E.

Suite 550

Bellevue, WA 98004-5862

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under 35, United States Code 41(a) and (b), in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention identified above and described in the application identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would

not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name:

Address:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements are made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

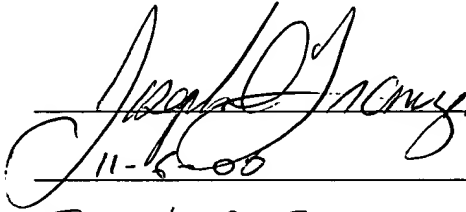
Signature

Date

Name of Person Signing

Title of person other than owner

Address of person signing


 11-5-00
 Joseph D. Franz
 Vice President
 110-110th Ave NE, Suite 550
 Bellevue, WA 98004